### PATENT COOPERATION TREATY

FAX: 0041 71 913 9556 From the INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY To: PCT Hepp et al. HEPP WENGER & RYFFEL AG WRITTEN OPINION OF THE Friedtalweg 5e 58 CH-9500 Wil INTERNATIONAL PRELIMINARY SUISSE **EXAMINING AUTHORITY** (PCT Rule 66) Date of mailing (day/month/year) 12.10.2005 Applicant's or agent's file reference REPLY DUE within 1 month(s) PSIC076WO from the above date of mailing International application No. International filing date (daytmonth/year) Priority date (day/month/year) PCT/EP2004/005391 19.05.2004 02,07,2003 International Patent Classification (IPC) or both national classification and IPC G01F1/704 Applicant SICPA HOLDING S.A. et al.  $\square$  The written opinion established by the International Searching Authority: considered to be a written opinion of the International Preliminary Examining Authority 2. This first report contains indications relating to the following items: Box No. 1 Basis of the opinion Box No. II Priority ☐ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability ☐ Box No. IV Lack of unity of invention ☑ Box No. V Reasoned statement under Rule 66.2(a)(ii) with regard to novelth inventive step or industrial applicability; citations and explanations supporting such statement ☐ Box No. VI Certain documents cited 28.10.05 Blau: ☐ Box No. VII Certain defects in the international application Box No. VIII Certain observations on the international application 11-11-05 Rot: 3. The applicant is hereby invited to reply to this opinion. Whan? See the time limit indicated above. The applicant may, before the expiration of that time limit, When?

See the time limit indicated above. The applicant may, before the expiration of that time limit, request this Authority to grant an extension, see Rule 66.2(e).

By submitting a written reply, accompanied, where appropriate, by amendments, according to Rule 66.3, For the form and the language of the amendments, see Rules 66.8 and 68.9.

For the examiner's obligation to consider amendments and/or arguments, see Rule 66.4bis.

For an informal communication with the examiner, see Rule 86.6.

For an additional opportunity to submit amendments, see Rule 66.4.

If no reply is filed, the international preliminary examination report will be established on the basis of this opinion. The final date by which the international preliminary report on patentability (Chapter II of the PCT) must be established according to Rule 89.2 is: 02.11.2005 4. Name and mailing address of the international preliminary examining authority: **Authorized Officer** European Patent Office D-80298 Munich

Klein, M-O

Telephons No. +49 89 2399-2738

Tel. +49 89 2399 - 0 Tx: 523856 apmu d

Fax: +49 89 2399 - 4465

## WRITTEN OPINION OF THE INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

International application No. PCT/EP2004/005391

_	Box No. 1 Basis o	f the opinion	
<ol> <li>With regard to the language, this opinion is based on the international application in the language in who was filed, unless otherwise indicated under this item.</li> </ol>		navage, this opinion is based on the international and in the	
	This opinion is based on translations from the original language into the following language, which is the language of a translation furnished for the purposes of:		
	☐ international search (under Rules 12.3 and 23.1(b)) ☐ publication of the International application (under Rule 12.4) ☐ international preliminary examination (under Rules 55.2 and/or 55.3)		
2.	. With regard to the elements of the international application, this opinion is based on (replacement sheets we have been furnished to the receiving Office in response to an Invitation under Article 14 are referred to in the opinion as "originally filed"):		
	Description 8		
	Description, Pages		
	1-31	as originally filed	
	Claims, Numbers		
	1-17	as originally filed	
	Drawings, Sheets		
	1/2, 2/2	as originally filed	
	a sequence listin	g and/or any related table(s) - see Supplemental Box Relating to Sequence Listing.	
3.	- The restriction have resulted in tile carlcellation of:		
	U the description	☐ the description, pages ☐ the claims, Nos.	
	$\Box$ the drawings.	sheets/igs	
	the sequence	listing (specify): elated to sequence listing (specify):	
ļ.	This opinion has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).		
	☐ the description	☐ the description, pages	
	☐ the claims, No ☐ the drawings,	S. Phostofice	
	the sequence	listing (specify):	
	any table(s) re	elated to sequence listing (specify):	

### WRITTEN OPINION OF THE INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

International application No. PCT/EP2004/005391

Box No. V Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Yes: Claims

9,11-13

No: Claims

1-8,10,14-17

Inventive step (IS)

Yes: Claims

No: Claims

1-17

Industrial applicability (IA)

Yes: Claims

1-17

No: Claims

2. Citations and explanations:

see separate sheet

Box No. VIII Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

see separate sheet

# WRITTEN OPINION OF THE INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY (SEPARATE SHEET)

International application No.

PCT/EP2004/005391

Reference is made to the following documents:

D3: DE 199 01 041 A (KERNFORSCHUNGSANLAGE JUELICH) 27 July 2000 (2000-

07-27)

D4: US-A-3 894 917 (RISEMAN JOHN H ET AL) 15 July 1975 (1975-07-15)

The document **D10** was not cited in the international search report. A copy of the document is appended hereto.

D10:

US-A-5,849,590

#### Re Item VIII

Reasoned statement with regard to clarity

claim 2 includes all the features of claim 1. Hence, claim 2 should be reformulated as a claim dependent on claim 1, cf. Rule 29(4) EPC and the Guidelines C-III, 3.5.

#### Re Item V

Reasoned statement with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

The present application does not meet the criteria of Article 33(1) PCT, because the subject-matter of claims 1 and 17 is not new in the sense of Article 33(2) PCT.

#### claim 1:

D10 discloses a method for marking a material (explosive material), the method comprising the steps of:

- identifying at least one ion (col. 9, l. 2-42) comprised in the said material at a concentration level of below 50 ppm in the unmarked state (col. 8, l. 13-35 state

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International application No.

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that the marker concentration of a selection of rare elements in natural abundance is between 0.001 ppm and 40 pm)

- selecting a marking composition comprising at least one ion as identified in step 1

incorporating the marking composition of step 2 into the said unmarked material (abstract; col. 7, l. 39- col. 10, l. 22)

wherein the concentration level of the said at least one ion in the marked material is increased in step 3 by at least the factor of 3 as compared to the concentration level of the ion present in the unmarked material (col. 9, I. 37-42)

#### claim 17:

For reasons analogous to those given for claim 1, the subject-matter of independent claim 17 is not new either (col. 4, i. 26: tagging of foodstuff/grain).

Varioups dependent claims do not contain any features which, in combination with the features of any claim to which they refer, meet the requirements of the PCT in respect of novelty and/or inventive step, see documents D3,D4,D10 and the corresponding passages cited in the search report.

claim 2: D10: (col. 10, l. 16-22)

claim 3: D10: concentration of rare elements is known (col. 8, I. 8-25)

claims 4-8: D10: (col. 8, I- 5-34; col. 9, I. 23-42)

claim 9: D10: (col. 4, l. 26: tagging of foodstuff/grain). Subject-matter not

inventive; the spilled shipments could also be drugs or

pharmaceutical products etc.

claim 10: D10: (col. 7, l. 12-22)

claim 11-13: Not inventive; analysis in D10 can be performed by a wider variety of

techniques (e.g. D3, D4)

claim 14: D10: (col. 10, l. 16-22) claims 15-16: D10: (col. 10, l. 16-22)